asp d Refund



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

in Te Application of:

2008 APR 24 PM 6:51

RANDALL J. HUEBNER, DAVID G. JENSEN,

and HERBERT RESPESS

Date: April 17, 2008

Serial No.

10/625,503

Docket No. ACM 349

Filed

July 22, 2003

Group Art Unit 3733

For

**BONE FUSION SYSTEM** 

Examiner Michael J. Araj

Commissioner for Patents REFUND SECTION P.O. Box 1450 Alexandria, Virginia 22313-1450

Sir:

## **REQUEST FOR REFUND**

Applicants respectfully request a refund to Deposit Account No. 11-1540 in the amount of \$250. On January 29, 2008, Applicants filed a Response After Final Office Action in the above-referenced matter. Applicants included an electronic payment via Deposit Account 11-1540 in the amount of \$1,650 to cover the required excess claims fees. The excess claims fee was based on 33 excess claims (78 total claims) at the large entity rate of \$50 each. Nevertheless, despite receipt of these funds, on February 4, 2008 the Patent Office also charged Deposit Account 11-1540 the amount of \$250 for 5 excess claims fees at the large entity rate. Thus, Applicants have paid for 83 (large entity) claims although only 78 claims exist in the application.

According to the Patent Application Fee Determination Record on file in this matter,

Legal Instrument Examiner Deborah Pollard calculated on January 29, 2008 that the

highest number of claims previously paid for on this application was 40. Applicants respectfully assert that they already paid for a total of 45 claims more than a year earlier. On January 5, 2004, Applicants filed a Response to Notice to File Missing Parts of Nonprovisional Application, and submitted therewith Check No. 54218 in the amount of \$1,346 as payment for the application filing fees and surcharge, which sum included payment for 20 extra claims at the [then] rate of \$18 each, for a total of 40 claims in the application. On September 14, 2006, Applicants filed a Response to Office Action, and submitted therewith Check No. 67559 in the amount of \$250 as payment for 5 new claims introduced in that amendment, bringing the total number of claims in the application, and paid for, to 45. Indeed, the Patent Office's own records show that the highest number of claims previously paid for is 45, as shown on another Patent Application Fee Determination Record dated September 18, 2006, entered after the filing of the Response to Office Action on September 14, 2006.

Applicants hereby submit copies of the following documents that show Deposit Account 11-1540 was charged \$250 in error:

- Exhibit A. Copy of the Electronic Patent Application Fee Transmittal noting inclusion of payment in the amount of \$1,650 for 33 excess claims.
- Exhibit B. Copy of the Electronic Acknowledgement Receipt noting successful receipt of the payment in the amount of \$1,650.
- Exhibit C. Copy of the Monthly Deposit Account Statement showing the \$250 PTO charge attributed to excess claims fees based upon the large entity rate of \$50 each, which sum amounts to overpayment of 5 excess claims at the large entity rate.
- Exhibit D. Copy of the Patent Application Fee Determination Record dated January 29, 2008, obtained through Private PAIR.
- Exhibit E. Copy of the Patent Application Fee Determination Record dated September 18, 2006, obtained through Private PAIR.

Page 2 of 3 - REQUEST FOR REFUND Serial No. 10/625,503; Our File - ACM 349 Exhibit F. Copy of the Fee Transmittal dated January 5, 2004 (which accompanied Applicants' Response to Notice to File Missing Parts of Nonprovisional Application) itemizing the extra claims fees paid for at that time.

Exhibit G. Copy of the stub from Check No. 54218 for \$1,346.

Exhibit H. Copy of the Fee Transmittal dated September 14, 2006 (which accompanied Applicants' Response to Office Action) itemizing the 5 extra claims fees paid for at that time.

Exhibit I. Copy of the stub from Check No. 67559 for \$250.

Accordingly, Applicant respectfully requests a refund in the amount of \$250 to Deposit Account No. 11-1540 for the February 4, 2008 charge to the deposit account. A duplicate of this document, excluding exhibits, is included for your convenience in processing the refund request.

Respectfully submitted,

KOLISCH HARTWELL, P.C.

#### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, REFUND SECTION, PO Box 1450, Alexandria, Virginia 22313-1450, on April 17, 2008.

ames R. Abney

Oustomer No. 23581 Registration No. 42,253

Attorney for Applicants

520 S.W. Yamhill Street, Suite 200 Portland, Oregon 97204

Telephone: (503) 224-6655 Facsimile: (503) 295-6679

Exhibit A

Electronic Patent A	4pr	olication Fe	e Transm	ittal	
Application Number:	10	625503			
Filing Date:	22	-Jul-2003			
		ŧ			
Title of Invention:	ВС	ONE FUSION SYS	TEM		
				· ·	
First Named Inventor/Applicant Name:	Ra	ındali J. Huebner			
Filer:	Ja	mes R. Abney/Ma	rgaret Burton		
Attorney Docket Number:	AC	CM 349			
Filed as Large Entity					
Utility Filing Fees					
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:					
Pages:				•	
Claims:					
Claims in excess of 20		1202	33	50	1650
Miscellaneous-Filing:					
Petition:					
Patent-Appeals-and-Interference:					
Post-Allowance-and-Post-Issuance:					
Extension-of-Time:	٠				

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
	Tota	al in USD	(\$)	1650

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Exhibit B

Electronic Ac	knowledgement Receipt
EFS ID:	2785656
Application Number:	10625503
International Application Number:	
Confirmation Number:	8089
Title of Invention:	BONE FUSION SYSTEM
First Named Inventor/Applicant Name:	Randall J. Huebner
Customer Number:	23581
Filer:	James R. Abney/Margaret Burton
Filer Authorized By:	James R. Abney
Attorney Docket Number:	ACM 349
Receipt Date:	29-JAN-2008
Filing Date:	22-JUL-2003
Time Stamp:	20:47:02
Application Type:	Utility under 35 USC 111(a)

# Payment information:

Submitted with Payment	yes
Payment Type	: Deposit Account
Payment was successfully received in RAM	\$1650 .
RAM confirmation Number	3954
Deposit Account	111540
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F %. Section 1.21 (Miscellaneous fees and charges)

File Listing	<u> </u>		511- 01- (B. )	55 sot 3		
Document Number	Document Description	: File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.	
1		01-29-08_Response_After_F inal_Office_Action_AS-FILE	1140075	yes	22	
•		3211518d2a26Het12a3a8a40068db441 d6422626	,00			
	Muitlp	art Description/PDF files in	.zlp description			
	Document D	escription	Start	. E	nd ·	
	Amendment	1		1		
	Clain	ns	2	1	6	
	Applicant Arguments/Remark	s Made in an Amendment	ł7.	2	<b>:2</b>	
Warnings:						
Information:					·	
2	Fee Worksheet (PTO-06)	fee-info.pdf	8128	no	2	
2	1 88 WORKSHOO! (F 10-00)	100-11110.pu	188-1710. PQ1 ad4a7583c8d568c5e84876190d91009 a075c3bd			
Warnings:					· · ·	
Information:						
·		Total Files Size (in bytes)	11	48203		

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the Indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

if a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the international Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Exhibit C





## **Deposit Account Statement**

Requested Statement Month:

Deposit Account Number:

Name:

Attention:

Street Address 1:

Street Address 2:

City:

State: Zip:

Country:

February 2008

111540

KOLISCH HARTWELL, P.C. ACCOUNTING DEPARTMENT

520 S.W. YAMHILL STREET

SUITE 200

**PORTLAND** 

OR 97204

UNITED STATES

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
02/01	4720	11058750	IGR 307	1806	\$180.00	\$33,665.00
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17.00

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02/27 6610	12037845	MAT3Z104	1201	\$210.00	\$25,953.00
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02/28 11076	E-REPLENISHMENT		9203	-\$20,000.00	\$39,589.00
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02/28 14147	77183037	SMT 405	7001	\$325.00	\$38,984.00
02/28 14178	77183592	SMT 404	7001	\$325.00	\$38,659.00
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02/29 6187	12039536	ENW.337	1011	\$310.00	\$33,989.00
	START	SUM OF	SUM OF	END	
	BALANCE	CHARGES	REPLENISH		
	\$33,845.00	\$61,429.00	\$61,573.00	\$33,989.00	

Need Help? | USPTO Home Page | Finance Online Shopping Page

Exhibit D

PTC/SB/06 (07-06)
Approved for use through 1/31/2007. OMB 0651-0032
U.S. Patent and Tredemark Office; U.S. DEPARTMENT OF COMMERCE

P	ATENT APPL	ICATION FE Substitute fo	E DET	ERMINATIO			ppscation	or	Docket Number 5,503	Fi	ing Date 22/2003	To be Mailed
	Al	PPLICATION	AS FILE	_	(Column 2)		SMA	LLI		OR		ER THAN
_	FOR	N	UMBER FII		MBER EXTRA	Г	RATE (	-	FEE (\$)		RATE (\$)	FEE (\$)
	BASIC FEE (37 CFR 1.16(a), (b),		N/A		N/A				N/A			
	SEARCH FEE (37 CFR 1.18(k), (f),		N/A		N/A		N/A				N/A	
	EXAMINATION FE (37 CFR 1.16(o), (p).		N/A		N/A		N/A				N/A	
	TAL CLAIMS CFR 1.16(i))		min	ua 20 =			X \$	=		OR	x \$ =	
	EPENDENT CLAIM CFR 1.16(h))	IS	m	inus 3 =			X \$	=			X \$ =	
	APPLICATION SIZE (37 CFR 1.16(s))	FEE shee is \$2 addit	ts of pape 50 (\$125 ional 50 :	ition and drawinger, the application for small entity) sheets or fraction (1)(6) and 37	for each thereof. See							
	MULTIPLE DEPEN							4			TOTAL	
• tf (	the difference in colo	umn 1 is less than LICATION AS					TOTAL	Ų			TOTAL	-
		(Column 1) CLAIMS REMAINING		(Column 2) HIGHEST NUMBER	(Column 3)	1			L ENTITY ADDITIONAL	OR	SMA	R THAN LL ENTITY ADDITIONAL
<b>AMENDMENT</b>	01/29/2008	AFTER AMENDMENT		PREVIOUSLY PAID FOR	EXTRA		RATE (	"	FEE (\$)	Ŀ	RATE (\$)	FEE (\$)
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							TOTAL ADD'L FEE			OR	TOTAL ADO'L FEE	1900
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<b>A</b>	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.18(I))									OR		
	the entry in column	1 is less than the	antro in an	iuma 2 write "I" in	column 3		TOTAL ADD'L FEE		4	OR	TOTAL ADD'L FEE	
t	the entry in column f the "Highest Numb If the "Highest Number F o "Highest Number F	er Previously Paid ber Previously Pat	For IN TI	HIS SPACE is less THIS SPACE is les	s than 20, enter *20 s than 3, enter *3*.		/DEB	3OI	nstrument Ex RAH POLLAR priate box in colu	RD/	ner:	

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the Individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Exhibit E

	PATENT APPLICATION FEE DETERMINATION RECORD 10/625503											
c	CLAIMS AS FILED - PART I SMALL ENTITY OTHER THAN TYPE OR SMALL ENTITY											
10	TAL CLAIMS							RATE	FEE	1	RATE	FEE
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FORM PTO-675 (Ref. 1004)

Exhibit F

PTO/SB/17 (10-03)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of Information unless it displays a valid OMB control number. Complete if Known FEE TRANSMITTAL 10/625,503 **Application Number** Filing Date July 22, 2003 for FY 2004 Randall J. Huebner First Named Inventor Effective 10/01/2003. Patent fees are subject to annual revision. **Examiner Name** Applicant claims small entity status. See 37 CFR 1.27 Art Unit TOTAL AMOUNT OF PAYMENT (S) 1346.00 **ACM 349** Attorney Docket No. METHOD OF PAYMENT (check all that apply) FEE CALCULATION (continued) Other None 3. ADDITIONAL FEES Check Credit card Large Entity , Small Entity Deposit Account: Fee Fee Description Deposit Code (\$) Code (\$) Fee Paid 11-1540 Account. 130.00 1051 2051 65 Surcharge - late filing fee or oath 130 Surcharge - late provisional filing fee or Deposit 1052 50 2052 25 Kolisch Hartwell, P.C. cover sheet Account Name 1053 130 Non-English specification 1053 130 The Director is authorized to: (check all that apply) 1812 2,520 For filing a request for ex parte reexamination 1812 2,520 Credit any overpayments Charge fee(s) indicated below 1804 920 1804 920\* Requesting publication of SIR prior to Charge any additional fee(s) or any underpayment of fee(s) Examiner action Charge fee(s) indicated below, except for the filing fee Requesting publication of SIR after 1805 1,840 1805 1,840° Examiner action to the above-identified deposit account. 2251 Extension for reply within first month 1251 1.10 55 **FEE CALCULATION** Extension for reply within second month 1252 420 2252 210 1. BASIC FILING FEE 1253 950 2253 Extension for reply within third month arge Entity Small Entity Fee Paid Fee Fee rec Code (\$) Fee Description 1254 1,480 2254 740 Extension for reply within fourth month Code (\$) 1,005 Extension for repty within fifth month 1255 2.010 2255 2001 385 Utility filing fee 1001 770 770.00 1401 330 2401 165 Notice of Appeal 1002 340 2002 170 Design filing fee 165 Filing a brief in support of an appeal 1402 330 2402 1003 530 2003 265 Plant filing fee 145 Request for oral hearing 290 1403 2403 2004 385 Reissue filing fee 1004 770 1451 1,510 1451 1,510 Petition to institute a public use proceeding 1005 160 Provisional filing fee 2005 80 1452 110 2452 55 Petition to revive - unavoidable SUBTOTAL (1) (\$) 770.00 1453 1.330 2453 665 Petition to revive - unintentional 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE 1501 1,330 2501 665 Utility issue fee (or reissue) Fee from Ext<u>ra Cialm</u>s Fee Paic **15**02 480 2502 240 Design issue fee below 20 x 18.00 360.00 Total Claims 40 -20\*\* = 1503 640 2503 320 Plant issue fee Independent Claims 86,00 04 01 X 86.00 1460 130 1460 130 Petitions to the Commissioner Multiple Dependent 290.00 0.00 1807 50 1807 50 Processing fee under 37 CFR 1.17(q) arge Entity Small Entity 180 Submission of Information Disclosure Stmt 1806 180 1806 40 Recording each patent assignment per Fee Description Fee Fee Fee Code (\$) Code (\$) 8021 40 8021 property (times number of properties) Claims in excass of 20 1202 18 2202 Filing a submission after final rejection (37 CFR 1.129(a)) 770 1809 2809 385 Independent claims in excess of 3 1201 88 2201 43 Multiple dependent claim, if not paid 1810 770 385 For each additional invention to be 1203 290 2203 145 2810 examined (37 CFR 1.129(b)) \* Reissue independent claims 2204 1204 86 385 Request for Continued Examination (RCE) 770 2801 over original patent 1801 \*\* Reissue claims in excess of 20 and over original patent Request for expedited examination 1802 900 1802 1205 18 2205 of a design application Other fee (specify) (\$) 446.00 SUBTOTAL (2) \*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 130.00 or number previously paid, if greater, For Reissues, see above (Complete (# applicable)) SUBMITTED BY Registration No. (503) 224-6655 42,253 Telephone Name (Print/Type) James R. Abney, Esq. (Attorney/Agent) January 5, 2004 Signature

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form end/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Exhibit G

KOLISCH HARTWELL, P.C.

U.S. Patent and Trademark Office
Client Costs Advanced:530 · Client Costs Adv.
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Patent application filing fee Missing parts surcharge 1/2/2004

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1,346.00

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Exhibit H

PTO/SB/17 (07-06)

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Under the Paperwork Reduction Act of 1995 no persons are required to respond to a collection of information unless it displays a valid QMB control number Complete If Known Effective on 12/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). 10/652,503 Application Number TRANSMI Filing Date July 22, 2003 For FY 2005 Randall Huebner First Named Inventor Michael J. Araj Examiner Name Applicant claims small entity status. See 37 CFR 1.27 3738 Art Unit 250.00 TOTAL AMOUNT OF PAYMENT Attorney Docket No. **ACM 349** METHOD OF PAYMENT (check all that apply) Credit Card Money Order None Other (please identify): Deposit Account Deposit Account Number: 11-1540 Deposit Account Name: Kolisch Hartwell, P.C. For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee Charge any additional fee(s) or underpayments of fee(s) Credit any overpayments under 37 CFR 1.16 and 1.17 WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card Information and authorization on PTO-2038. FEE CALCULATION 1. BASIC FILING, SEARCH, AND EXAMINATION FEES **EXAMINATION FEES FILING FEES SEARCH FEES Small Entity Small Entity Small Entity** Fee (\$) Fees Paid (\$) Fee (\$) Fee (\$) **Application Type** Fee (\$) Fee (\$) Fee (\$) 200 100 Utility 300 150 500 250 130 65 100 Design 200 100 50 300 160 80 200 100 150 Plant 500 250 600 300 300 150 Reissue 200 0 0 0 Provisional 100 Small Entity 2. EXCESS CLAIM FEES Fee (\$) Fee (\$) Fee Description 50 25 Each claim over 20 (including Reissues) Each independent claim over 3 (including Reissues) 200 100 180 360 Multiple dependent claims Multiple Dependent Claims Total Claims Extra Claims Fee (\$) Fee Paid (\$) Fee Paid (\$) 250.00 50.00 Fee (\$) - 20 or HP = X HP = highest number of total claims paid for, if greater than 20. Extra Claims Fee (\$) Fee Paid (\$) Indep. Claims - 3 or HP = HP = highest number of independent claims paid for, if greater than 3. 3. APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee (\$) Fee Paid (\$) (round up to a whole number) x Fees Paid (\$) 4. OTHER FEE(S) Non-English Specification, \$130 fee (no small entity discount) Other (e.g., late filing surcharge): SUBMITTED BY Registration No. 42,253 Telephone (503) 224-6655 Signature (Attomey/Agent) Date September 14, 2006 Name (Print/Type) James R. Abney

This collection of information is required by 37 CFR 1 136 (he information is required to obtain or retain a benefit by the public which is to fite (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Tradement Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Exhibit I

KOLISCH HARTWELL, P.C.

67559

U.S. Patent and Trademark Office Client Costs Advanced:530 · Client Costs Office action response for add'l claims

250.00

9/14/2006

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## I THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

RANDALL J. HUEBNER, DAVID G. JENSEN,

and HERBERT RESPESS

Date: April 17, 2008

Serial No.

10/625,503

Docket No. ACM 349

Filed

July 22, 2003

Group Art Unit 3733

For

**BONE FUSION SYSTEM** 

Examiner Michael J. Araj

Commissioner for Patents REFUND SECTION P.O. Box 1450 Alexandria, Virginia 22313-1450



Sir:

### **REQUEST FOR REFUND**

Applicants respectfully request a refund to Deposit Account No. 11-1540 in the amount of \$250. On January 29, 2008, Applicants filed a Response After Final Office Action in the above-referenced matter. Applicants included an electronic payment via Deposit Account 11-1540 in the amount of \$1,650 to cover the required excess claims fees. The excess claims fee was based on 33 excess claims (78 total claims) at the large entity rate of \$50 each. Nevertheless, despite receipt of these funds, on February 4, 2008 the Patent Office also charged Deposit Account 11-1540 the amount of \$250 for 5 excess claims fees at the large entity rate. Thus, Applicants have paid for 83 (large entity) claims although only 78 claims exist in the application.

According to the Patent Application Fee Determination Record on file in this matter, Legal Instrument Examiner Deborah Pollard calculated on January 29, 2008 that the

Page 1 of 3 - REQUEST FOR REFUND Serial No. 10/625,503; Our File - ACM 349 highest number of claims previously paid for on this application was 40. Applicants respectfully assert that they already paid for a total of 45 claims more than a year earlier. On January 5, 2004, Applicants filed a Response to Notice to File Missing Parts of Nonprovisional Application, and submitted therewith Check No. 54218 in the amount of \$1,346 as payment for the application filing fees and surcharge, which sum included payment for 20 extra claims at the [then] rate of \$18 each, for a total of 40 claims in the application. On September 14, 2006, Applicants filed a Response to Office Action, and submitted therewith Check No. 67559 in the amount of \$250 as payment for 5 new claims introduced in that amendment, bringing the total number of claims in the application, and paid for, to 45. Indeed, the Patent Office's own records show that the highest number of claims previously paid for is 45, as shown on another Patent Application Fee Determination Record dated September 18, 2006, entered after the filing of the Response to Office Action on September 14, 2006.

Applicants hereby submit copies of the following documents that show Deposit Account 11-1540 was charged \$250 in error:

- Exhibit A. Copy of the Electronic Patent Application Fee Transmittal noting inclusion of payment in the amount of \$1,650 for 33 excess claims.
- Exhibit B. Copy of the Electronic Acknowledgement Receipt noting successful receipt of the payment in the amount of \$1,650.
- Exhibit C. Copy of the Monthly Deposit Account Statement showing the \$250 PTO charge attributed to excess claims fees based upon the large entity rate of \$50 each, which sum amounts to overpayment of 5 excess claims at the large entity rate.
- Exhibit D. Copy of the Patent Application Fee Determination Record dated January 29, 2008, obtained through Private PAIR.
- <u>Exhibit E.</u> Copy of the Patent Application Fee Determination Record dated September 18, 2006, obtained through Private PAIR.

Exhibit F. Copy of the Fee Transmittal dated January 5, 2004 (which accompanied Applicants' Response to Notice to File Missing Parts of Nonprovisional Application) itemizing the extra claims fees paid for at that time.

Exhibit G. Copy of the stub from Check No. 54218 for \$1,346.

Exhibit H. Copy of the Fee Transmittal dated September 14, 2006 (which accompanied Applicants' Response to Office Action) itemizing the 5 extra claims fees paid for at that time.

Exhibit I. Copy of the stub from Check No. 67559 for \$250.

Accordingly, Applicant respectfully requests a refund in the amount of \$250 to Deposit Account No. 11-1540 for the February 4, 2008 charge to the deposit account. A duplicate of this document, excluding exhibits, is included for your convenience in processing the refund request.

Respectfully submitted,

KOLISCH HARTWELL, P.C.

## **CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, REFUND SECTION, PO Box 1450, Alexandria, Virginia 22313-1450, on April 17, 2008.

James R. Abney

Qustpmer No. 23581

Registration No. 42,253 Attorney for Applicants

520 S.W. Yamhill Street, Suite 200

Portland, Oregon 97204 Telephone: (503) 224-6655 Facsimile: (503) 295-6679

Page 3 of 3 - REQUEST FOR REFUND Serial No. 10/625,503; Our File - ACM 349 APR 2 1 2008 Approved for use through 02/29/2008. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE aperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 10/625.503 TRADEN Filing Date TRANSMITTAL July 22, 2003 First Named Inventor RANDALL J. HUEBNER **FORM** Art Unit 3733 **Examiner Name** Michael J. Arai (to be used for all correspondence after initial filing) Attorney Docket Number **ACM 349** Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC Fee Transmittal Form Drawing(s) Appeal Communication to Board Licensing-related Papers of Appeals and Interferences Fee Attached Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Petition Amendment/Reply . Petition to Convert to a Proprietary Information Provisional Application After Final Power of Attorney, Revocation Status Letter Change of Correspondence Address Affidavits/declaration(s) Other Enclosure(s) (please Identify × Terminal Disclaimer below): **Extension of Time Request** -Return Receipt Postcard Request for Refund **Express Abandonment Request** - 24 pages of supporting exhibits to Request for Refund (including exhibit divider sheets) CD, Number of CD(s) - extra copy of Request for Refund, minus Information Disclosure Statement exhibits, for refund processing purposes Landscape Table on CD Remarks Certified Copy of Priority Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name Kolisch Hartwell, P.C. Signature Printed name JANES R. ABNEY Reg. No. Date 42.253 April 17, 2008 CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature

Typed or printed name | Margaret R. Burton

Date A

April 17, 2008

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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